



Alpha Securities Pty Ltd
ACN 124 327 064 AFSL 330757
Level 2, 22 Pitt Street Sydney NSW 2000
P) 1300 316 357 F) 02 9299 9276
E) george@alphasecurities.com.au
w) www.alphasecurities.com.au

Execution & Settlement through:
ETRADE AUSTRALIA SECURITIES LIMITED
trading as E*TRADE Australia ACN 078 174 973
AFSL No. 238277, Participant of the ASX Limited Group

| | | |
|------------------|--|------------------|
| Advisor: | | ADVISER USE ONLY |
| Account No: | | ADVISER USE ONLY |
| Brokerage scale: | | ADVISER USE ONLY |

1. Type of Account (Please one only)

Individual Joint Company (ACN required) Registered Body (ARBN required) Superannuation Fund Trust Minors

2. ACN or ARBN: for Company or Registered Body Account only

ACN: ARBN:

3. CHESS Registered Account Name

The name you nominate here will be your CHESS Registered Name. If you are transferring securities the Registered Account Name must be **EXACTLY** the same as on your last holding statement. If you intend to operate the account on behalf of a super fund, trust or minors, you must provide the name of the entity or person in the Account Designation field.

Account Name:
Account Designation:

4. Account Holder 1 / Company Contact

Please provide the personal and contact details for an individual, trustee, custodian or company director.

Mr Mrs Ms Miss Other Gender: Male Female Date of Birth:
Family Name: Position Held:
Given Name/s: Occupation:

5. Account Holder 2 / Company Contact

Mr Mrs Ms Miss Other Gender: Male Female Date of Birth:
Family Name: Position Held:
Given Name/s: Occupation:

6. Residential Address or Registered Business Address: PO Boxes cannot be accepted (required)

Please provide a residential address for individual or joint accounts. For company, super and trust accounts, please provide the registered address. Please note that if you are transferring securities, your registered address should be **EXACTLY** the same as your last holding statement.

Address:
Suburb/City: State: Postcode: Country:

7. Postal Address (if different from above)

Address:
Suburb/City: State: Postcode: Country:

8. CHESS Registered / Adviser Address (if different from above)

Address:
Suburb/City: State: Postcode: Country:

9. Contact details: please provide at least one contact point, and your preferred means of contact

Email: Phone (w):
Phone (h): Phone (m): Fax:

10. Contract Notes: please your preference (if electronically, contract notes will be sent to the email address detailed in section 9)

I/we would like to receive my/our contract notes by: Electronically (by email) or Printed and posted

11. Nominated Financial Institution Account

You must nominate a financial institution account into which we will credit any funds withdrawn from your ANZ Bank Account. This nominated financial institution account must be in the name of, or include the name of, the party shown in Q3. On request, cleared funds are remitted to this financial institution account as cheque withdrawals are not available.

Financial institution: Address:
Account Holder Name:
BSB Number: Account Number:

The BSB Number is a six-digit financial institution state branch number. If unsure of the BSB number please contact your financial institution. The financial institution account number has a maximum of nine digits. Credit card numbers cannot be accepted.

12. Tax File Number

You should include the Tax File Number (TFN) of each applicant signing. Or, in the case of a company, fund or trust account, that body's TFN. Collection of TFN's is authorised by the Australian Taxation Office. It is not compulsory to quote a TFN however if you choose not to, you will be charged withholding tax at the highest rate. Should you choose to provide a TFN, we will pass it on to the E*TRADE Australia, ANZ Bank and share registries with whom you hold securities.

TFN: [] Or Exemption: [] TFN: [] Or Exemption: []

13. Direct Debit

I/We authorise and request E*TRADE Australia to arrange for funds to be debited from my/our account at the financial institution identified in Q11.

I/We understand and acknowledge that:

- 1) My financial institution has absolute discretion to decide the order in which it will pay money under this request, or any other request, authority or mandate;
2) My financial institution has absolute discretion to refuse to honour this request at any time;
3) You may vary the amount or frequency of future debits to meet amounts payable under the product;
4) This request operates on the terms of the attached Direct Debit Service Agreement.

[] Yes [] No

14. CHESS Sponsorship Transfer

I/We am/are currently sponsored on CHESS by another broker or have issuer sponsored securities but would prefer to hold some or all of my/our securities with ETRADE Australia Securities Limited ('E*TRADE Australia') sponsorship. (Please read the Sponsorship Agreement and if you agree with the terms contained therein, complete this section).

Broker Sponsored Holdings (please attach a copy of your CHESS holding statement)

Name of Existing Sponsoring Broker: [] HIN to be transferred: []

CHESS Registered Account Name: []

Holding to be transferred: If "All holdings" is selected, your HIN will be transferred from your existing broker. If you nominate to transfer "selected holdings" your selection will be transferred to your HIN with E*TRADE Australia. Please note, this may require re-notification of DRP and Tax File Numbers.

Please transfer: [] All holdings [] Selected holdings If you wish to transfer selected holdings, please complete the details in the attached CHESS Sponsorship Transfer

15. Dividend Direction: Please [x] the []

- [] I/We hereby authorise and request E*TRADE Australia to instruct the share registries of any companies in which I hold shares to pay any dividends and other distributions directly into my/our E*TRADE Australia Settlement Account. These instructions apply for all existing holdings and future holdings. Please note:
- This dividend direction applies only to shares held in the account name below which are Broker Sponsored by E*TRADE Australia.
- These instructions will override all previous instructions that you have provided to share registries regarding payment of dividends. Previous elections that you have made to participate in any dividend reinvestment plans will be maintained.
- If you wish to use an account other than your E*TRADE Australia Settlement Account for the direct crediting of dividends, you will need to liaise directly with the relevant share Registries.
- This form operates as a standing authorisation and instruction by you to E*TRADE Australia in relation to any shares that you purchase through E*TRADE Australia at any time after the date of this form. E*TRADE Australia will provide your E*TRADE Australia Settlement Account details to the relevant registry at the time of purchasing the stock for you.
- You may cancel your Dividend Direction Request at any time by a written confirmation.

16. Acknowledgements/Declaration

- (1) I/We acknowledge having received, read and understood the CHESS Sponsorship Agreement, Financial Services Guide, Direct Debit Service Agreement (if applicable) and Privacy Statement
(2) I/We have received, read and understood the CHESS Sponsorship Agreement and agree to be bound by its terms and conditions.
(3) I/We enter into this agreement on the terms and conditions as updated from time to time or included within the account application package should it have been dispatched to me/us by mail. By signing this agreement I/we agree to be bound by these terms and conditions.
(4) I/We authorise the E*TRADE Australia to open a Bank Account as account holder and trustee of which I/we are beneficiaries for the purpose of settling share transactions on the Account.
(5) I/We authorise Alpha Securities Pty Ltd to give effect to my instructions regarding execution and settlement of my/our securities transactions. I will be bound by all such instructions.

Anti-Money Laundering and Sanctions

The Client agrees that E*TRADE Australia may delay, block or refuse to process any transaction without incurring any liability if E*TRADE Australia suspects that:

- (a) the transaction may breach any laws or regulations in Australia or any other country;
(b) the transaction involves any person (natural, corporate or governmental) that is itself sanctioned or is connected, directly or indirectly, to any person that is sanctioned under economic and trade sanctions imposed by the United States, the European Union or any country; or
(c) the transaction may directly or indirectly involve the proceeds of, or be applied for the purposes of, unlawful conduct.

The Client must provide all information to E*TRADE Australia which E*TRADE Australia reasonably requires in order to manage money-laundering or terrorism-financing and economic and trade sanctions risk or to comply with any laws or regulations in Australia or any other country.

Unless the Client has disclosed that it is acting in a trustee capacity or on behalf of another party, the Client warrants that it is acting on its own behalf in signing this application form.

The Client declares and undertakes to E*TRADE Australia that the processing of any transaction by E*TRADE Australia in accordance with the Client's instructions will not breach any laws or regulations in Australia or any other country.

17. Signatory Requirements

Signature: [] Signature: []

Name: [] Name: []

Title (if company): [] Date: [] Title (if company): [] Date: []

For joint applicants, please indicate signatory requirements for all written instructions (please tick one): [] Either applicant to sign [] All applicants to sign

18. Licensee Declaration

We have complied with the terms of the Trading Services Agreement with ETRADE Australia Securities Ltd and all relevant legislation. I acknowledge that I am authorised to sign this form on behalf of the intermediary.

I certify to E*TRADE Australia that I have collected and verified the client information in accordance with the Intermediary Identifying Agreement

Execution of Sponsorship Agreement by ETRADE Australia Securities Ltd

Signed for and on behalf of ETRADE Australia Securities Limited

Signature: [] ADVISER USE ONLY Signature: [] OFFICE USE ONLY
Name: [] ADVISER USE ONLY Name: [] OFFICE USE ONLY
Date: [] ADVISER USE ONLY Date: [] OFFICE USE ONLY HIN: [] OFFICE USE ONLY

E*TRADE Australia Direct Debit Service Agreement

This agreement sets out the terms on which you have authorised E*TRADE Australia to arrange for amounts owing to E*TRADE Australia, to be made by deduction from your account at the **approved financial institution**.

1. You will need to complete the Direct Debit Request (DDR-Form) set out in the Application Form to use the E*TRADE Australia trading facility provided by us (the 'Service').
2. By the Direct Debit Request, you authorise us to arrange for amounts that become payable to E*TRADE Australia under the Service, to be made by deduction from your account at the **approved financial institution's** written on your DDR-Form.
3. Your Direct Debit Request allows us to arrange for payment to us for the amounts on the trade day or other nominated times, as required by the E*TRADE Australia terms and conditions ('Conditions') of the Service including all amounts in relation to your trading instructions or your advisors trading instructions to us.
4. You can cancel your Direct Debit Request by calling us on 1300 363 241 8am to 7pm EST weekdays, for the cost of a local call. We will require 6 working days to process your cancellation request (in some cases, we will also need your written confirmation). You or your advisor cannot, however, vary, defer or suspend it, or stop or suspend an individual debit from taking place under it.
5. Once you have asked us to cancel your Direct Debit Request:
 - We will cancel any outstanding buy orders that have been approved on the basis of accessing funds via direct debit;
 - We can still use the Direct Debit Request to process any filled, but unsettled, buy orders for another 6 working days; and
 - You will not be able to give further buy orders without cleared funds in your E*TRADE Australia ANZ bank account or until we have received a replacement Direct Debit Request.
6. Debits will be processed in accordance with the Conditions of the Service. If a due date for a debit falls on a weekend or public holiday, the debit will be processed on the next settlement day. Please contact us if you are uncertain when a debit will be processed to your account.
7. You must ensure that you have sufficient **cleared funds** available in the nominated account by the due date to permit the payments under the Direct Debit Request as required by the Conditions of the Service.
IMPORTANT Information: The funds will be drawn on trade day. Please ensure that when placing an at limit order that cleared funds are maintained in the account at the approved financial institution, during the entire life of the order. Insufficient cleared funds in your nominated financial institution account e.g an uncleared cheque deposit will result in the drawing being unsuccessful.
8. If a drawing is unsuccessful:
 - Either you or your advisor will need to transfer cleared funds to the E*TRADE ANZ account by T+3; and
 - You will be charged the scheduled fail fee of \$25 of trade value for each day a contract remains unsettled.**IMPORTANT Information: If you deposit a cheque or some other uncleared funds into the ANZ CMT and it does not clear in time to meet settlement the scheduled failed fees will apply.**
9. Please contact E*TRADE Australia on 1300 363 241 if you have any questions about your Direct Debit Request, such as concerns about a debit that we make under it. We will reply to you within seven (7) days.
10. We can vary this Service Agreement at any time after giving you 14 days notice of the changes.
11. We will keep information about your financial institutions account confidential, except to the extent necessary to resolve any claim you might make relating to a debit which you claim has been made incorrectly.
12. Please ensure that your **approved financial institution** allows direct debits on your nominated account.